



The Modern Connected Risk Platform



Launched a risk assessment



Shared a final audit report



Met ISO and SOC 2 req's

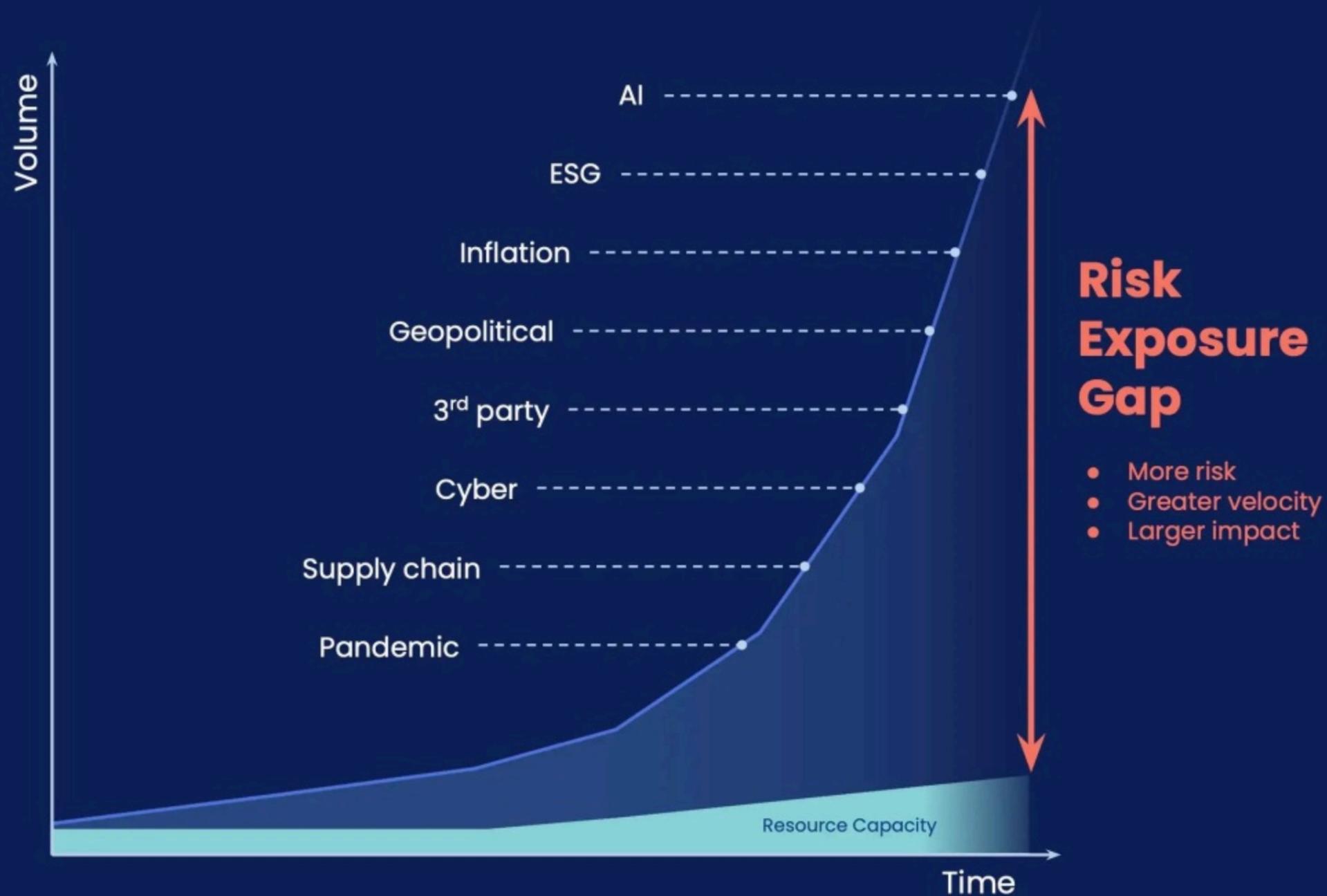


AuditBoard at a glance



*Customer-facing roles.

Risk demands outpacing capacity to manage



Negative business consequences

\$14M

average cost per noncompliance event

\$1B

estimated cost per third-party incident

-4%

share price change following a material weakness

Enterprises today must deal with a sea of regulations and concerns

Risks

- Cybersecurity
- Data Breach
- Compliance
- Regulatory
- Reputational
- Operational
- Financial
- Market
- Credit
- Liquidity
- Foreign Exchange
- Interest Rate
- Systemic
- Legal
- Third-Party
- Supply Chain
- Business Continuity
- Fraud
- Technology
- Counterparty

Regulations

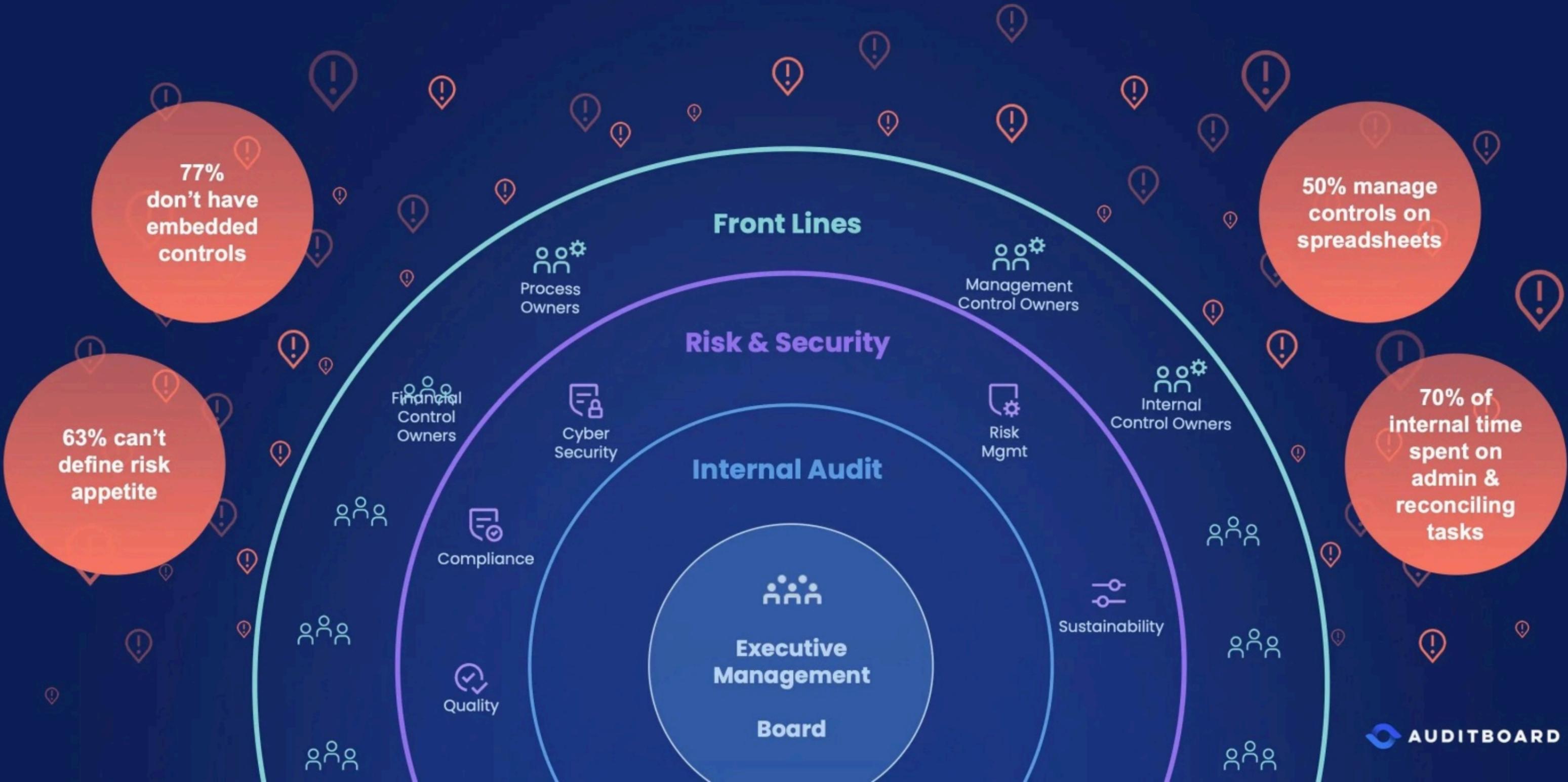
- SOX
- FDICIA
- MAR
- MiFID II
- SEC
- AML
- SASB
- GDPR
- CCPA
- FISMA
- NYDFS
- PCI DSS
- FDA
- HIPAA
- HITECH

Compliance Frameworks

- COSO
- COBIT
- ITIL
- BCM
- ISO 22301
- ISO 27001
- NIST CF
- NIST SP
- PCI DSS
- CMMC
- CCM
- SOC
- HIPAA
- FedRAMP
- NERC
- HITRUST
- CIS
- ISO 29100
- AICPA
- NIST
- CCPA
- ISO 9001
- ISO 14001
- CDP
- SASB
- GRI
- TCFD
- CDSB
- VRF
- ISSB
- EFRAG
- ISO 31010
- NIST 800-53

Identify → Assess → Measure → Mitigate → Monitor → Report

Most Enterprises Use 3 Lines of Defense to Manage Risk



Why is closing the Risk Exposure Gap so hard?

Siloed teams

Gaps in coverage, conflicting goals, redundant work

Fragmented data

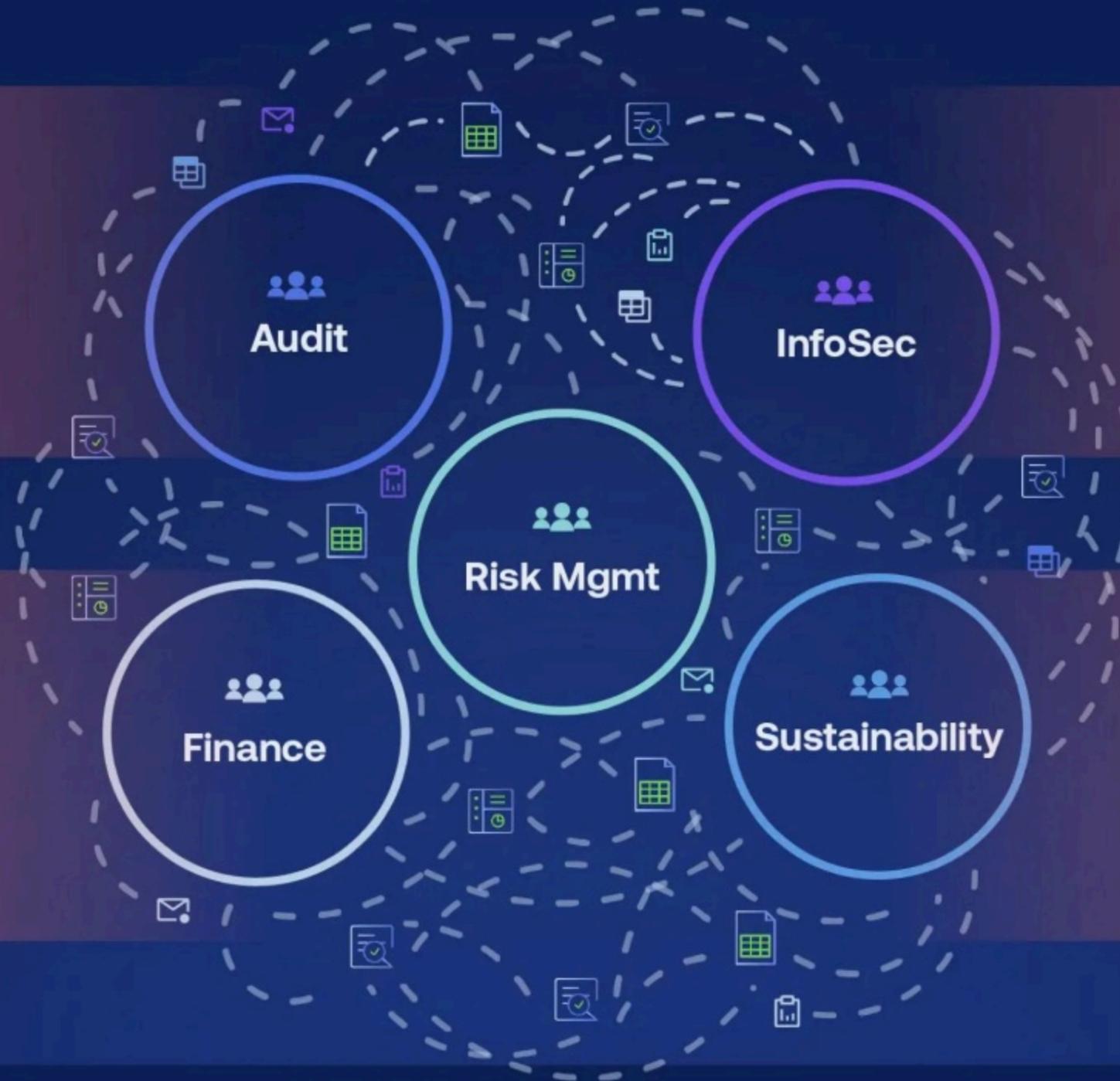
Outdated information, version confusion, incomplete views of risk

Manual processes

Human toil, inefficient & error prone processes, tedious reporting

Disparate tools

Patchwork legacy tools, inferior user experience, not fit for purpose



Existing Solutions Fall Short



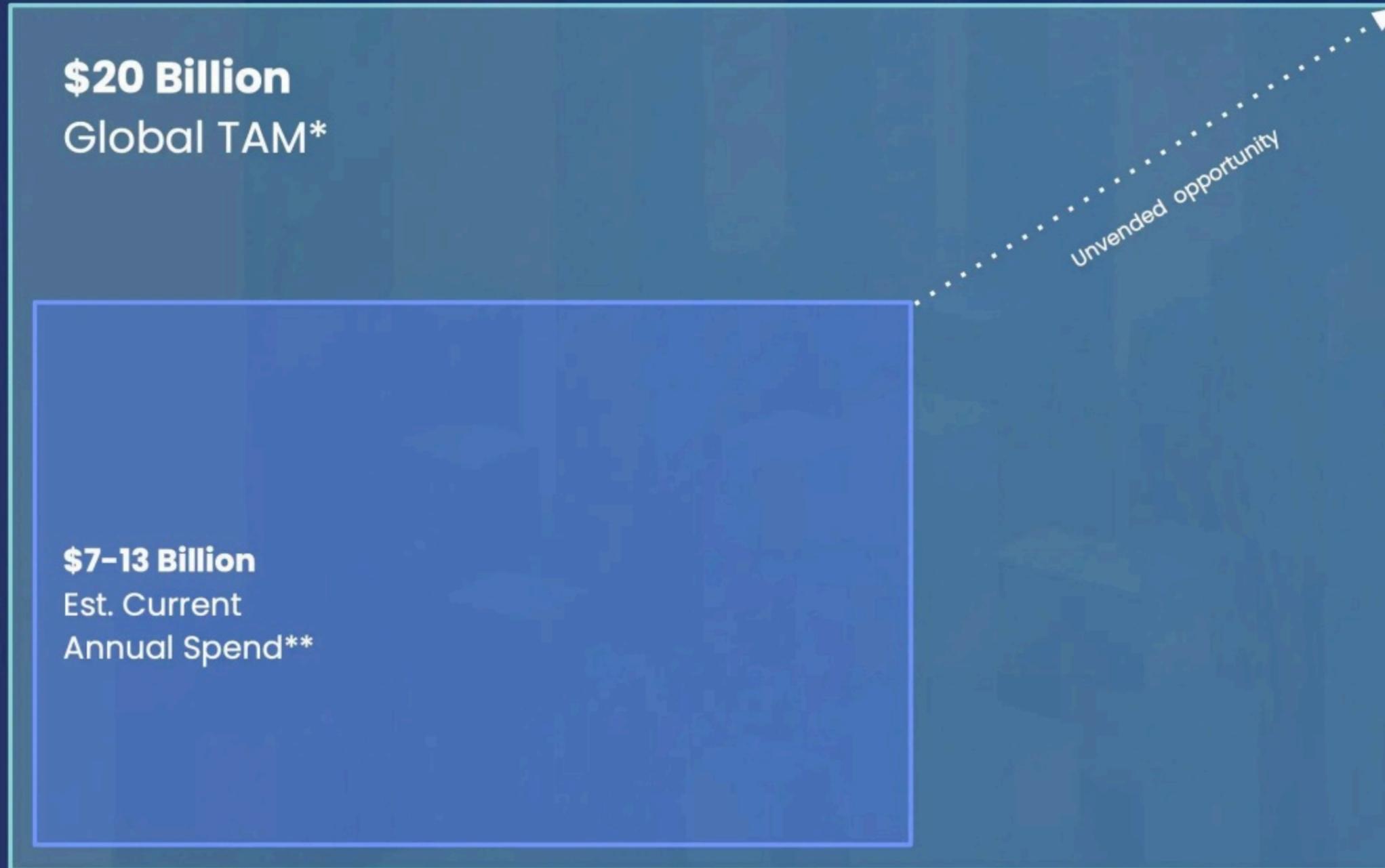
**Homegrown Solutions &
Productivity Tools**



**Legacy GRC/ Point
Solutions**

- Not built for the cloud
- Products vs. platform
- Narrow perspective limits holistic view
- Inefficient and error-prone
- Inhibit cross-team collaboration
- Difficult to use; lack of domain awareness
- Requires extensive customization
- Difficult to maintain and upgrade

A Large and Growing Market



- Accelerating risk context
- Increasing regulatory and compliance demands
- Expanding financial impact

* AuditBoard estimate 2023. ** Based on 2022 Gartner [IRM Global Spending Forecast](#) and 2021 [IDC](#) estimates.

Modern, unifying technology is required

Connected teams

Collaborate across functions, align teams, eliminate redundancies, drive agility



Audit



Risk



InfoSec



Finance



Sustainability

Unified data

Unified data core for complete view of risk and holistic reporting

Automated processes

Extensive purpose-built workflows eliminate mundane work & enable strategic impact

Modern platform

Consistent & engaging UX, easy to use & extensible design

A comprehensive and scalable Connected Risk Platform



CUSTOMER SOLUTIONS

Audit

Elevate team impact with risk-based auditing and SOX assurance

- Audit Program Management
- Financial Controls Management

Risk Management

Visualize and address every enterprise and operational risk

- Enterprise Risk Management
- Operational Risk Management

IT Risk & Compliance

Automate and connect across each area of IT risk and compliance management

- IT Risk Management
- IT Framework Management
- Third Party Risk Management

ESG

Streamline ESG program and ensure audit-ready data

- ESG Program Management
- ESG Controls Management

SHARED PLATFORM

PLATFORM CAPABILITIES: AI/ML • Analytics • Workflow • UX • Dashboards & Reporting • Permissions • Collaboration

ENTERPRISE INTEGRATIONS: Integrations • APIs • Automation • Productivity Tools

UNIFIED DATA CORE: Risks • Controls • Issues • Policies • Frameworks

CONNECTED RISK PLATFORM



AuditBoard AI

Generative Content

Domain specific GenAI to accelerate the most time consuming audit, risk, and compliance tasks, including issue and control drafting

Intelligent Recommendations

Connecting the dots for customers to identify risk and accelerate compliance using deeper data insights

The screenshot displays the AuditBoard AI interface. On the left, a section titled "Suggested Framework Requirements" lists several items, including "NIST 800-53 Rev4: CM-2(1) Baseline Configuration | Reviews And Updates" and "FedRAMP: CM-02 (01) BASELINE CONFIGURATION | REVIEWS AND UPDATES". A small "AuditBoard" notification box is overlaid on the list, stating "Please review and Accept." with "Regenerate" and "Accept" buttons. On the right, a "Create New Risk" modal form is open, containing fields for Name (Enterprise Fraud Risk), Category (Default Category), Process, UID, and Description (There is a risk of fraudulent activity occurring within the organization, including embezzlement, misappropriation of funds, and falsification of financial records, which could result in financial loss, reputational damage, and legal repercussions). The form also includes an Owner(s) dropdown and "Cancel" and "Create Risk" buttons.



Safe & Secure



Intuitive



Purpose-Built



Connected

The AuditBoard Difference

Modern Connected Risk Platform

Surface and manage more risk

Only purpose-built risk platform designed to connect teams, stakeholders, data, & processes



Unified



Connected

Work smarter with greater impact

Most practitioner-centric and intuitive design, built to accelerate efficiency and time-to-value



Domain Expertise



Intuitive



Automated

Drive front-line ownership

Unparalleled stakeholder experience based on a collaborative work philosophy



Engaging



Collaborative

AuditBoard is the Category Leader

"AuditBoard has supercharged our transformation journey at every level."

All B2B



Top 100
Software Products
BEST SOFTWARE AWARDS
2024

 g2.com
★★★★★

ERM
(formerly GRC)



Leader
WINTER
2024

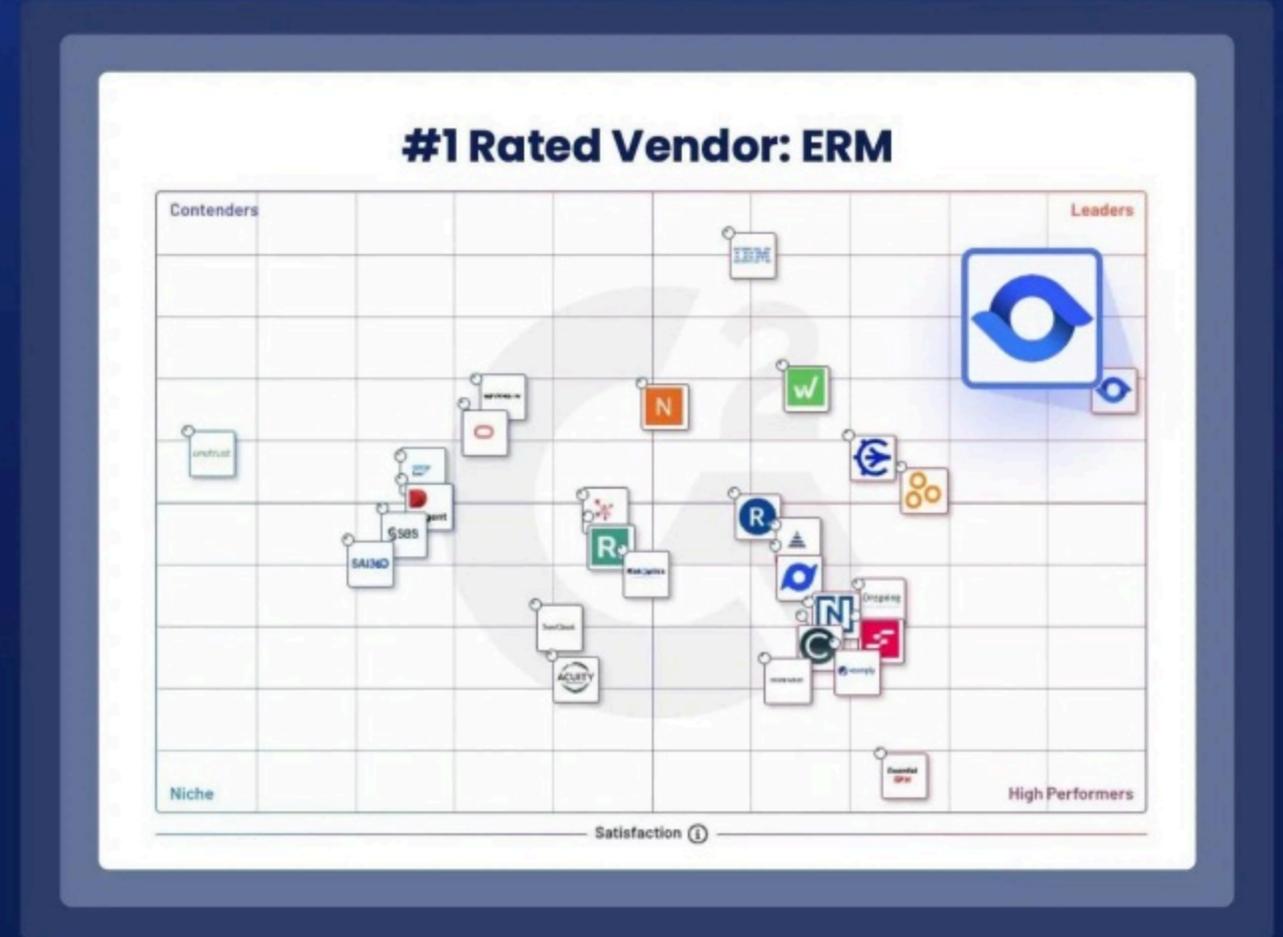
Gartner
Peer Insights
★★★★★

Audit
Management



Leader
WINTER
2024

 Capterra
★★★★★



Trusted by the World's Largest Enterprises

~50% of the Fortune 500, and 6 of the Fortune 10 use AuditBoard

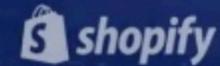
FINANCIAL



HEALTHCARE & BIO-TECH



CONSUMER & RETAIL



INDUSTRIAL & ENERGY



TECHNOLOGY & SOFTWARE



REAL ESTATE & TRAVEL

